

# On regular irreducible components of module varieties over string algebras

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## Abstract

We determine the regular irreducible components of the variety  $\text{mod}(\mathcal{A}, d)$ , where  $\mathcal{A} = kQ/I$  is a string algebra and  $I$  is generated by a set of paths of length two. Our case is among the first examples of descriptions of irreducible components, aside from hereditary, tubular (see [4]) and Gelfand-Ponomarev algebras (see [7]).

## 1 Introduction

Fix an algebraically closed field  $k$ , and let  $\mathcal{A}$  be a finite dimensional associative  $k$ -algebra with a unit. By  $\text{mod}(\mathcal{A}, d)$  we denote the affine subvariety of  $\text{Hom}_k(\mathcal{A}, M_d(k))$  of  $k$ -algebra homomorphisms  $A \rightarrow M_d(k)$ , where  $M_d(k)$  is the algebra of  $d \times d$  matrices over  $k$ . The algebraic group  $\text{GL}_d(k)$  of invertible  $d \times d$  matrices acts on  $\text{mod}(\mathcal{A}, d)$  by conjugation. The  $\text{GL}_d(k)$ -orbits in  $\text{mod}(\mathcal{A}, d)$  are in one-to-one correspondence with the isomorphism classes of  $d$ -dimensional left  $\mathcal{A}$ -modules.

We recall the definition of a string algebra and describe its finite dimensional modules. A quiver is a tuple  $Q = (Q_0, Q_1, s, t)$  consisting of a finite set of vertices  $Q_0$ , a finite set of arrows  $Q_1$  and maps  $s, t : Q_1 \rightarrow Q_0$ . We call  $s(\alpha)$  the source and  $t(\alpha)$  the target of the arrow  $\alpha \in Q_1$ . A path in  $Q$  is either  $1_u$ , where  $u$  is a vertex of  $Q$ , or a finite sequence  $\alpha_1 \dots \alpha_n$  of arrows of  $Q$ , satisfying  $s(\alpha_i) = t(\alpha_{i+1})$ . The path algebra  $kQ$  is the  $k$ -algebra with  $k$ -basis  $\{p : p \text{ is a path in } Q\}$ , where the product of two paths  $p, q$  is the concatenation  $pq$  in case  $s(p) = t(q)$  and zero otherwise. A string algebra  $\mathcal{A}$  over  $k$  is an algebra of the form  $\mathcal{A} = kQ/I$  for a quiver  $Q$  and an ideal  $I$  in  $kQ$  satisfying the following:

- $I$  is admissible, i.e. there is an  $n \in \mathbb{N}$  with  $(kQ^+)^n \subseteq I \subseteq (kQ^+)^2$ , where  $kQ^+$  is the ideal generated by all arrows.
- $I$  is generated by a set of paths in  $Q$ .
- There are at most two arrows with source  $u$  for any vertex  $u$  of  $Q$ .
- There are at most two arrows with target  $u$  for any vertex  $u$  of  $Q$ .
- For any arrow  $\alpha$  of  $Q$  there is a most one arrow  $\beta$  with  $s(\alpha) = t(\beta)$  and  $\alpha\beta \notin I$ .
- For any arrow  $\beta$  of  $Q$  there is a most one arrow  $\alpha$  with  $s(\alpha) = t(\beta)$  and  $\alpha\beta \notin I$ .

Fix a string algebra  $\mathcal{A} = kQ/I$ . The opposite quiver  $Q^{op}$  is  $(Q_0, Q_1^{-1}, s, t)$ , where  $Q_1^{-1} = \{\alpha^{-1} : \alpha \in Q_1\}$ ,  $s(\alpha^{-1}) := t(\alpha)$  and  $t(\alpha^{-1}) := s(\alpha)$ . A string (of  $\mathcal{A}$ ) is either  $1_u$ , where  $u$  is a vertex of  $Q$ , or a finite sequence  $\alpha_1 \cdots \alpha_n$  of arrows of  $Q$  and  $Q^{op}$ , satisfying  $s(\alpha_i) = t(\alpha_{i+1})$  and  $\alpha_i \neq \alpha_{i+1}^{-1}$  such that none of its partial strings  $\alpha_i \cdots \alpha_j$  nor its inverse  $\alpha_j^{-1} \cdots \alpha_i^{-1}$  belongs to  $I$ . By  $\mathcal{W}$  we denote the set of all strings. Let  $c$  be a string. We set  $s(c) := t(c) := u$  in case  $c = 1_u$  and  $s(c) := s(\alpha_n)$  and  $t(c) := t(\alpha_1)$ , in case  $c = \alpha_1 \cdots \alpha_n$ . We say that  $c$  starts/ends with an (inverse) arrow if  $c = \alpha_1 \cdots \alpha_n$  and  $\alpha_n \in Q_1$  ( $\in Q_1^{-1}$ ),  $\alpha_1 \in Q_1$  ( $\in Q_1^{-1}$ ), respectively. We define the inverse string  $c^{-1}$  of  $c$  by  $c^{-1} := 1_u$  in case  $c = 1_u$  and  $c^{-1} := \alpha_n^{-1} \cdots \alpha_1^{-1}$  if  $c = \alpha_1 \cdots \alpha_n$ . The length  $l(c)$  of  $c$  is 0 if  $c = 1_u$  and  $n$  if  $c = \alpha_1 \cdots \alpha_n$ . We call the strings of length 0 trivial. Note that the concatenation  $cd$  of two strings  $c$  and  $d$  is not necessarily a string.

**Remark 1.1.**

- A string  $c$  is trivial if and only if  $c = c^{-1}$ .
- If  $I$  is generated by paths of length two, then  $c = \alpha_1 \cdots \alpha_n$  is a string if and only if  $\alpha_i \alpha_{i+1}$  is a string for all  $1 \leq i \leq n-1$ .

By  $\text{Ld}(c) := \{c' : c = c'c''\}$  we denote the set of leftdivisors of  $c$ . For any string  $c$  we define the string module  $M(c)$  with basis  $\{e_{c'} : c' \in \text{Ld}(c)\}$  by

$$p \cdot e_{c'} = \begin{cases} e_{c'p^{-1}} & \text{if } c'p^{-1} \in \text{Ld}(c), \\ e_{c''} & \text{if } c' = c''p, \\ 0 & \text{otherwise,} \end{cases}$$

for any path  $p$  in  $Q$ . For an arrow  $\alpha$  and a vertex  $u$  we thus have

$$e_{\alpha_1 \dots \alpha_{i-1}} \xrightarrow{\alpha} e_{\alpha_1 \dots \alpha_i} \quad \text{if } \alpha = \alpha_i^{-1},$$

$$e_{\alpha_1 \dots \alpha_{i-1}} \xleftarrow{\alpha} e_{\alpha_1 \dots \alpha_i} \quad \text{if } \alpha = \alpha_i,$$

$$1_u \cdot e_{\alpha_1 \dots \alpha_i} = \begin{cases} e_{\alpha_1 \dots \alpha_i} & \text{if } u = s(\alpha_i), \\ 0 & \text{if } u \neq s(\alpha_i). \end{cases}$$

By [2] string modules are indecomposable and two string modules  $M(c)$  and  $M(d)$  are isomorphic if and only if  $c = d$  or  $c = d^{-1}$ . An isomorphism  $M(c) \rightarrow M(c^{-1})$  is given by sending  $e_{c'}$  to  $e_{c'^{-1}}$  for  $c' \in \text{Ld}(c)$  with  $c = c'c''$ . We will refer to such an isomorphism as "the isomorphism from  $M(c)$  to  $M(c^{-1})$ ".

Aside from string modules there is another type of indecomposable (finite dimensional)  $\mathcal{A}$ -modules, the band modules. To make it easier to describe degenerations (see section 4), we also define quasi-band modules, which are a generalization of band modules.

A quasi-band  $(b, m)$  is a map  $b : \mathbb{Z} \rightarrow Q_1 \cup Q_1^{-1}$  together with an integer  $m \geq 1$  such that  $b(i) = b(i + m)$  for all  $i \in \mathbb{Z}$  and  $b(i)b(i + 1) \dots b(i + n)$  is a string for all  $i \in \mathbb{Z}$  and all  $n \geq 0$ . Frequently we will just write  $(b, m) = b(1) \dots b(m)$ . A quasi-band  $(b, m)$  is called a band provided  $(b, m')$  is not a quasi-band for any  $0 < m' < m$ . For any quasi-band  $(b, m)$  and any  $\phi \in \text{Aut}_k(V)$ , where  $V$  is a finite dimensional  $k$ -vector space, we define the quasi-band module  $M(b, m, \phi)$  in the following way. First we define an (infinite dimensional)  $\mathcal{A}$ -module  $M(b)$  with basis  $\{e_i : i \in \mathbb{Z}\}$  by

$$p \cdot e_i = \begin{cases} e_j & \text{if there is a } j \geq i \text{ such that } b(i)p^{-1} = b(i) \dots b(j), \\ e_{j-1} & \text{if there is a } j \leq i + 1 \text{ such that } pb(i + 1) = b(j) \dots b(i + 1), \\ 0 & \text{otherwise,} \end{cases}$$

for any path  $p$  in  $Q$ . Note that we write  $pb(i + 1) = b(j) \dots b(i + 1)$  instead of  $p = b(j) \dots b(i)$  in order to include trivial paths. For an arrow  $\alpha$  and a vertex  $u$  we thus have

$$e_{i-1} \xrightarrow{\alpha} e_i \quad \text{if } \alpha = b(i)^{-1},$$

$$e_{i-1} \xleftarrow{\alpha} e_i \quad \text{if } \alpha = b(i),$$

$$1_u \cdot e_i = \begin{cases} e_i & \text{if } u = s(b(i)), \\ 0 & \text{if } u \neq s(b(i)). \end{cases}$$

We define an  $\mathcal{A}$ -module structure on  $V \otimes_k M(b)$  by setting

$$p \cdot (v \otimes w) := v \otimes (p \cdot w)$$

for any path  $p$  in  $Q$ . Finally we set

$$M(b, m, \phi) := V \otimes_k M(b) / \text{span}_k(\{v \otimes e_i - \phi(v) \otimes e_{i+m} : v \in V, i \in \mathbb{Z}\}).$$

In case  $V = k$  the automorphism  $\phi$  is given by multiplication with a  $\lambda \in k^*$  and we set  $M(b, m, \lambda) = M(b, m, \phi)$ . We call  $M(b, m, \phi)$  a band module provided  $(b, m)$  is a band and the  $k[x]$ -module defined by  $\phi$  is indecomposable. By [2] any band module is indecomposable and two band modules  $M(b, m, \phi)$  and  $M(b', m', \phi')$  are isomorphic if and only if  $m = m'$  and one of the following holds:

- There is an  $i \in \mathbb{Z}$  with  $b(j) = b'(i + j)$  for all  $j \in \mathbb{Z}$  and  $\phi$  and  $\phi'$  are isomorphic as  $k[x]$ -modules.
- There is an  $i \in \mathbb{Z}$  with  $b(j) = b'(i - j)^{-1}$  for all  $j \in \mathbb{Z}$  and  $\phi^{-1}$  and  $\phi'$  are isomorphic as  $k[x]$ -modules.

This motivates the definition of an equivalence relation  $\sim$  for quasi-bands, defined by  $(b, m) \sim (b', m')$  if  $m = m'$  and one of the following holds:

- There is an  $i \in \mathbb{Z}$  with  $b(j) = b'(i + j)$  for all  $j \in \mathbb{Z}$ .
- There is an  $i \in \mathbb{Z}$  with  $b(j) = b'(i - j)^{-1}$  for all  $j \in \mathbb{Z}$ .

By  $[(b, m)]$  we denote the equivalence class of  $(b, m)$  with respect to  $\sim$ .

It is shown in [2] that the finite-dimensional indecomposable  $\mathcal{A}$ -modules are precisely the string and band modules up to isomorphism.

For any sequence  $S = (c_1, \dots, c_l, (b_1, m_1), \dots, (b_n, m_n))$  with  $l, n \geq 0$  consisting of strings  $c_1, \dots, c_l$  and quasi-bands  $(b_1, m_1), \dots, (b_n, m_n)$  the family of modules  $\mathcal{F}(S) \subseteq \text{mod}(\mathcal{A}, d)$  is the image of the morphism

$$\text{GL}_d(k) \times (k^*)^n \longrightarrow \text{mod}(\mathcal{A}, d)$$

sending  $(g, \lambda_1, \dots, \lambda_n)$  to

$$g \star \left( \bigoplus_{i=1}^l M(c_i) \oplus \bigoplus_{j=1}^n M(b_j, m_j, \lambda_j) \right),$$

where

$$d = \sum_{i=1}^l \dim_k M(c_i) + \sum_{j=1}^n \dim_k M(b_j, m_j, 1).$$

We call a subset  $\mathcal{F}$  of  $\text{mod}(\mathcal{A}, d)$  an  $\mathcal{S}$ -family of strings and quasi-bands if there is a sequence  $S$  of strings and quasi-bands with  $\mathcal{F} = \mathcal{F}(S)$  and we call  $\mathcal{F}$  an  $\mathcal{S}$ -family of (strings and) bands if  $S$  is a sequence of (strings and) bands.

Note that a band module  $M(b, \phi)$  with  $\phi \in \text{GL}_p(k) = \text{Aut}_k(k^p)$  does not necessarily belong to any  $\mathcal{S}$ -family of strings and quasi-bands, as  $\phi$  might not be diagonalizable. But, as the set of diagonalizable matrices in  $\text{GL}_p(k)$  is dense in  $\text{GL}_p(k)$ , we see that  $M(b, \phi)$  belongs to the closure of the  $\mathcal{S}$ -family  $\mathcal{F}(b, b, \dots, b)$ , which is an  $\mathcal{S}$ -family of bands. Thus  $\text{mod}(\mathcal{A}, d)$  is a union of closures of  $\mathcal{S}$ -families of strings and bands. As  $\text{GL}_d(k)$  is irreducible, any  $\mathcal{S}$ -family of strings and quasi-bands is irreducible and as there are only finitely many different  $\mathcal{S}$ -families of strings and bands in  $\text{mod}(\mathcal{A}, d)$ , any irreducible component of  $\text{mod}(\mathcal{A}, d)$  is the closure of an  $\mathcal{S}$ -family of strings and bands.

Let  $r : \text{mod}(\mathcal{A}, d) \rightarrow \mathbb{N}$  be the function sending  $X$  to

$$r(X) = \sum_{\alpha \in Q_1} \text{rank } X(\alpha).$$

We call  $X \in \text{mod}(\mathcal{A}, d)$  regular if  $r(X) = d$ . From the direct decomposition of  $X$  into a direct sum of string and band modules we obtain that  $r(X) \leq d$  for any  $X \in \text{mod}(\mathcal{A}, d)$  and that  $X$  is regular if and only if  $X$  is isomorphic to a direct sum of band modules. As  $r$  is lower semi-continuous, we see that the regular elements of  $\text{mod}(\mathcal{A}, d)$  form an open subset of  $\text{mod}(\mathcal{A}, d)$ .

Let  $\mathcal{C}$  be an irreducible component of  $\text{mod}(\mathcal{A}, d)$  such that there is a regular  $X \in \mathcal{C}$ . We call such an irreducible component regular. We already know that there is a sequence of strings and bands  $S$  such that the closure of  $\mathcal{F}(S)$  is  $\mathcal{C}$ . Obviously  $S$  has to be a sequence of bands. In order to determine the regular irreducible components of  $\text{mod}(\mathcal{A}, d)$  it suffices to solve the following problem: Given a sequence of bands  $S$  with  $\mathcal{F}(S) \subseteq \text{mod}(\mathcal{A}, d)$ , determine whether the closure of  $\mathcal{F}(S)$  is an irreducible component or not.

We apply the result on decompositions of irreducible components as presented in [3] and obtain the following: Let  $S = (b_1, \dots, b_n)$  be a sequence of bands. We set  $d_i := \dim_k M(b_i, 1)$  and  $d = d_1 + \dots + d_n$ . The closure of  $\mathcal{F}(S)$  is an irreducible component of  $\text{mod}(\mathcal{A}, d)$  if and only if the following holds:

- i) For all  $i \neq j$ , there are  $X \in \mathcal{F}(b_i)$  and  $Y \in \mathcal{F}(b_j)$  with  $\text{Ext}_{\mathcal{A}}^1(X, Y) = 0$ .
- ii) The closure of  $\mathcal{F}(b_i)$  is an irreducible component of  $\text{mod}(\mathcal{A}, d_i)$  for  $i = 1, \dots, n$ .

Our goal is to characterize the conditions *i)* and *ii)* by combinatorial criteria on bands. For *i)* we have a complete solution, whereas our characterization of *ii)* only holds if the ideal  $I$  is generated by paths of length two.

Note that the result from [3] can also be applied to sequences of strings and bands in order to determine the non-regular irreducible components, but we were not able to characterize condition *ii*) for strings.

We call a pair of bands  $((b, m), (c, n))$  extendable if there are  $s, t \geq 1$ , strings  $u, v, w$  and arrows  $\alpha, \beta, \gamma, \delta$  with

$$(b, sm) = w\beta u\alpha^{-1} \text{ and } (c, tn) = w\delta^{-1}v\gamma$$

such that

$$(d, n+m) := (c, n)(b, m) := c(1) \cdots c(n)b(1) \cdots b(m)$$

is a quasi-band. Note that  $l(w) > m, n$  is possible, which explains why  $s$  and  $t$  are needed. We call a pair of equivalence classes of bands  $(B, C)$  extendable, if there are bands  $(b, m) \in B$  and  $(c, n) \in C$  such that  $((b, m), (c, n))$  is extendable.

**Proposition 1.2.** *Let  $(b, m)$  and  $(c, n)$  be bands. There are  $X \in \mathcal{F}(b, m)$  and  $Y \in \mathcal{F}(c, n)$  with  $\text{Ext}_{\mathcal{A}}^1(X, Y) = 0$  if and only if the pair  $([(b, m)], [(c, n)])$  is not extendable.*

Note that  $\text{Ext}_{\mathcal{A}}^1(X, Y) = 0$  for some  $X \in \mathcal{F}(b, m)$  and  $Y \in \mathcal{F}(c, n)$  implies that  $\text{Ext}_{\mathcal{A}}^1(-, -)$  vanishes generically on  $\mathcal{F}(b, m) \times \mathcal{F}(c, n)$ .

We call a band  $(b, m)$  negligible if one of the following holds:

- There are strings  $u, v, w, x, y$ , arrows  $\alpha, \beta, \gamma, \delta$  and an  $s \geq 1$  with

$$(b, m) = u\gamma v\alpha^{-1} \text{ and } (b, sm) = w\beta x\alpha^{-1} = u\gamma w\delta^{-1}y$$

such that

$$(c, n) := u\gamma \text{ and } (d, m-n) := v\alpha^{-1}$$

are quasi-bands.

- There is a string  $u$  that starts and ends with an arrow, a string  $v$  that starts and ends with an inverse arrow and a string  $w$  with  $(b, m) = wuw^{-1}v$  such that

$$(c, m) := wu^{-1}w^{-1}v$$

is a quasi-band.

We call an equivalence class of bands  $B$  negligible if there is a band  $(b, m) \in B$  which is negligible. One can show that  $(B, B)$  is extendable if  $B$  is negligible, but we will not use it.

**Proposition 1.3.** *Let  $(b, m)$  be a band with  $\mathcal{F}(b, m) \subseteq \text{mod}(\mathcal{A}, d)$ . If the closure of  $\mathcal{F}(b, m)$  is an irreducible component of  $\text{mod}(\mathcal{A}, d)$ , then  $[(b, m)]$  is not negligible.*

We do not know whether the converse holds in general, but it does in case  $I$  is generated paths of length two:

**Proposition 1.4.** *Assume that  $I$  is generated by a set of paths of length two and let  $(b, m)$  be a band with  $\mathcal{F}(b, m) \subseteq \text{mod}(\mathcal{A}, d)$ . If  $[(b, m)]$  is not negligible, then the closure of  $\mathcal{F}(b, m)$  is an irreducible component of  $\text{mod}(\mathcal{A}, d)$ .*

We call a sequence  $S = (b_1, \dots, b_n)$  of bands negligible, if one of the following holds:

- $[b_i]$  is negligible for some  $1 \leq i \leq n$ .
- $([b_i], [b_j])$  is extendable for some  $1 \leq i, j \leq n$ .

Our main result is the following theorem which is just a consequence from the previous propositions.

**Theorem.** *Let  $\mathcal{A} = kQ/I$  be a string algebra and let  $S$  be a sequence of bands with  $\mathcal{F}(S) \subseteq \text{mod}(\mathcal{A}, d)$ .*

- a) If the closure of  $\mathcal{F}(S)$  is an irreducible component of  $\text{mod}(\mathcal{A}, d)$ , then  $S$  is negligible.*
- b) If  $S$  is negligible and  $I$  is generated by paths of length two, then the closure of  $\mathcal{F}(S)$  is an irreducible component of  $\text{mod}(\mathcal{A}, d)$ .*

If  $I$  is generated by a set of paths of length two and  $b$  is a band, then  $[b]$  is negligible if and only if  $([b], [b])$  is extendable (see Lemma 3.1 and 3.2). From the previous theorem we thus obtain:

**Corollary 1.5.** *Assume that  $I$  is generated by paths of length two and let  $\mathcal{F} \subseteq \text{mod}(\mathcal{A}, d)$  be an  $\mathcal{S}$ -family of bands. The closure of  $\mathcal{F}$  is an irreducible component of  $\text{mod}(\mathcal{A}, d)$  if and only if there are  $X, Y \in \mathcal{F}$  with  $\text{Ext}_{\mathcal{A}}^1(X, Y) = 0$ .*

Note that Corollary 1.5 is not true if  $I$  is not generated by paths of length two. Indeed, consider the algebra  $\Lambda = k[\alpha, \beta]/(\alpha^3, \beta^3, \alpha\beta)$  and the band  $\alpha^{-1}\beta$ . The closure of  $\mathcal{F}(\alpha^{-1}\beta)$  is an irreducible component of  $\text{mod}(\Lambda, 2)$ , as there are no other  $\mathcal{S}$ -families of band modules in  $\text{mod}(\Lambda, 2)$ . On the other hand,  $\text{Ext}_{\Lambda}^1(X, Y)$  does not vanish for any  $X, Y \in \mathcal{F}(\alpha^{-1}\beta)$ , as one can

easily construct a short exact sequence  $0 \rightarrow X \rightarrow Z \rightarrow Y \rightarrow 0$  for some  $Z \in \mathcal{F}(\alpha^{-2}\beta^2)$ .

If  $I$  is generated by paths of length two, there is a simple formula for the dimension of a regular irreducible component: We call a vertex  $u \in Q_0$  gentle (w.r.t.  $I$ ) if it satisfies the following:

- For any arrow  $\alpha$  of  $Q$  with  $s(\alpha) = u$  there is a most one arrow  $\beta$  with  $s(\alpha) = t(\beta)$  and  $\alpha\beta \in I$ .
- For any arrow  $\beta$  of  $Q$  with  $t(\beta) = u$  there is a most one arrow  $\alpha$  with  $s(\alpha) = t(\beta)$  and  $\alpha\beta \in I$ .

We call  $\mathcal{A}$  a gentle algebra if any vertex of  $Q$  is gentle and the ideal  $I$  is generated by paths of length two.

**Proposition 1.6.** *Assume that  $I$  is generated by paths of length two and let  $S$  be a sequence of bands such that the closure  $\overline{\mathcal{F}(S)}$  is an irreducible component of  $\text{mod}(\mathcal{A}, d)$ . The dimension of  $\overline{\mathcal{F}(S)}$  is given by the formula*

$$\dim \overline{\mathcal{F}(S)} = d^2 - \sum_{\substack{u \in Q_0 \\ u \text{ non-gentle}}} \dim_k \text{Hom}_{\mathcal{A}}(X, M(1_u)) \dim_k \text{Hom}_{\mathcal{A}}(M(1_u), X),$$

for any  $X \in \mathcal{F}(S)$ . In particular, the dimension of any regular irreducible component of  $\text{mod}(\mathcal{A}, d)$  is  $d^2$  provided  $\mathcal{A}$  is a gentle algebra.

The paper is organized as follows. In section 2 we recall results on homomorphisms between representations of string algebras from [5]. In section 3 we prove Proposition 1.4 and the dimension formula Proposition 1.6. Section 4 is devoted to explicit inclusions among closures of  $\mathcal{S}$ -families of bands and the proof of Proposition 1.3. In section 5 we study extensions and prove Proposition 1.2.



## 2 Homomorphisms

We show that  $\mathcal{S}$ -families of band modules can be separated by hom-conditions using string modules (see Proposition 2.5), a result we need for the proof of Proposition 1.4. We recall a basis of homomorphism spaces between representations of string algebras worked out in [5].

### 2.1 Substring morphisms for a string

Let  $c$  be a string. A substring of  $c$  is a triple  $(c_1, c_2, c_3)$  of strings with  $c = c_1 c_2 c_3$  satisfying the following:

- $c_1$  is either trivial or it starts with an inverse arrow ( $c_1 = c'_1 \alpha^{-1}$ ).
- $c_3$  is either trivial or it ends with an arrow ( $c_3 = \alpha c'_3$ ).

By  $\text{sub}(c)$  we denote the set of substrings of  $c$ .

For each  $(c_1, c_2, c_3) \in \text{sub}(c)$  we define the homomorphism

$$\iota_{c_2, (c_1, c_2, c_3)} : M(c_2) \longrightarrow M(c)$$

by sending  $e_d$  to  $e_{c_1 d}$  for  $d \in \text{Ld}(c_2)$ . We call such a morphism a substring morphism. For a string  $d$  we set

$$\text{sub}(d, c) := \{(c_1, c_2, c_3) \in \text{sub}(c) : c_2 \in \{d, d^{-1}\}\}.$$

### 2.2 Factorstring morphisms for a string

Let  $c$  be a string. A factorstring of  $c$  is a triple  $(c_1, c_2, c_3)$  of strings with  $c = c_1 c_2 c_3$  satisfying the following:

- $c_1$  is either trivial or it starts with an arrow ( $c_1 = c'_1 \alpha$ ).
- $c_3$  is either trivial or it ends with an inverse arrow ( $c_3 = \alpha^{-1} c'_3$ ).

By  $\text{fac}(c)$  we denote the set of factorstrings of  $c$ .

For each  $(c_1, c_2, c_3) \in \text{fac}(c)$  we define the homomorphism

$$\pi_{c_2, (c_1, c_2, c_3)} : M(c) \longrightarrow M(c_2)$$

by sending  $e_d$  to

$$\begin{cases} e_{d'} & \text{if } d = c_1 d' \in \text{Ld}(c_1 c_2) \\ 0 & \text{otherwise.} \end{cases}$$

We call such a morphism a factorstring morphism. For a string  $d$  we set

$$\text{fac}(d, c) := \{(c_1, c_2, c_3) \in \text{fac}(c) : c_2 \in \{d, d^{-1}\}\}.$$

## 2.3 Winding and unwinding morphisms

Let  $(b, m)$  be a quasi-band. For  $s \geq 1$  and  $\lambda \in k^*$  we define the winding morphism

$$w_{(b,m),s,\lambda} : M(b, sm, \lambda^s) \longrightarrow M(b, m, \lambda)$$

by sending  $\overline{1 \otimes e_i}$  to  $\overline{1 \otimes e_i}$  for  $i = 0, \dots, sm - 1$ .

Dually, the unwinding morphism

$$u_{(b,m),s,\lambda} : M(b, m, \lambda) \longrightarrow M(b, sm, \lambda^s),$$

sends  $\overline{1 \otimes e_i}$  to

$$\sum_{j=0}^{s-1} \lambda^j \overline{1 \otimes e_{i+jm}}$$

for  $i = 0, \dots, m - 1$ .

## 2.4 Substring morphisms for a quasi-band

Let  $(b, m)$  be a quasi-band and  $c$  a string. We define the set

$$\begin{aligned} \text{sub}_1(c, (b, m)) &:= \{1 \leq i \leq m : b(i) \cdots b(i + l(c)) = b(i)c, \\ &\quad b(i)^{-1}, b(i + l(c) + 1) \in Q_1\} \end{aligned}$$

Note that we write  $b(i) \cdots b(i + l(c)) = b(i)c$  instead of  $b(i+1) \cdots b(i + l(c)) = c$  in order to include the case  $l(c) = 0$ . For any  $i \in \text{sub}_1(c, (b, m))$  and any  $\lambda \in k^*$  we define a morphism

$$\iota_{i,c,(b,m),\lambda} : M(c) \longrightarrow M(b, m, \lambda)$$

as a composition

$$M(c) \xrightarrow{f} M(b, sm, \lambda^s) \xrightarrow{w_{(b,m),s,\lambda}} M(b, m, \lambda)$$

for some integer  $s \geq 1$  chosen in such a way that  $(b, sm) = d_1 \alpha^{-1} c \beta d_2$  for some arrows  $\alpha, \beta$  and some strings  $d_1, d_2$  with  $l(d_1) = i - 1$ , where the morphism  $f$  sends  $e_d$  to  $\overline{1 \otimes e_{i+l(d)}}$  for  $d \in \text{Ld}(c)$ .

$$\begin{array}{ccc}
M(c) : & & \\
& \begin{array}{ccc}
e_{1_{t(c)}} & \xrightarrow{\quad c \quad} & e_c \\
\vdots & & \vdots \\
1 \otimes e_i & \xrightarrow{\quad c \quad} & 1 \otimes e_{i+l(c)}
\end{array} \\
M(b, sm, \lambda^s) : & \begin{array}{ccc}
\alpha = \lambda^{-s} \uparrow & & \uparrow \beta \\
1 \otimes e_{i-1-sm} & \xrightarrow{\quad d_2 d_1 \quad} & 1 \otimes e_{i+l(c)+1}
\end{array}
\end{array}$$

Note that  $\iota_{i,c,(b,m),\lambda}$  does not depend on the choice of  $s$ .

We set  $\text{sub}_{-1}(c, (b, m)) := \text{sub}(c^{-1}, (b, m))$ . Note that  $\text{sub}_{-1}(c, (b, m)) = \text{sub}_1(c, (b, m))$  if  $c$  is trivial. For each  $i \in \text{sub}_{-1}(c, (b, m))$  we define a morphism

$$\iota_{i,c,(b,m),\lambda} : M(c) \longrightarrow M(b, m, \lambda).$$

as the composition

$$M(c) \xrightarrow{\sim} M(c^{-1}) \xrightarrow{\iota_{i,c^{-1},(b,m),\lambda}} M(b, m, \lambda),$$

where the first morphism is the isomorphism from  $M(c)$  to  $M(c^{-1})$ .

We have thus defined morphisms  $\iota_{i,c,(b,m),\lambda}$ , called substring morphisms, for any  $\lambda \in k^*$  and any

$$i \in \text{sub}(c, (b, m)) := \text{sub}_1(c, (b, m)) \cup \text{sub}_{-1}(c, (b, m)).$$

Whereas substring morphisms for strings are always injective, substring morphisms for quasi-bands are not necessarily.

Dually we define the factorstring morphisms for quasi-bands:

## 2.5 Factorstring morphisms for a quasi-band

Let  $(b, m)$  be a quasi-band and  $c$  a string. We define the set

$$\begin{aligned}
\text{fac}_1(c, (b, m)) &:= \{1 \leq i \leq m : b(i) \cdots b(i+l(c)) = b(i)c, \\
&\quad b(i), b(i+l(c)+1)^{-1} \in Q_1\}
\end{aligned}$$

For any  $i \in \text{fac}_1(c, (b, m))$  and any  $\lambda \in k^*$  we define a morphism

$$\pi_{i,c,(b,m),\lambda} : M(b, m, \lambda) \longrightarrow M(c)$$

as a composition

$$M(b, m, \lambda) \xrightarrow{u_{(b, m), s, \lambda}} M(b, sm, \lambda^s) \xrightarrow{f} M(c)$$

for some integer  $s \geq 1$  chosen in such a way that  $(b, sm) = d_1 \alpha c \beta^{-1} d_2$  for some arrows  $\alpha, \beta$  and some strings  $d_1, d_2$  with  $l(d_1) = i - 1$ , where  $f$  is the morphism that sends  $\overline{1 \otimes e_j}$  to 0 if either  $0 \leq j < i$  or  $i + l(c) < j < sm$ , and  $\overline{1 \otimes e_j}$  to  $e_d$  if  $i \leq j \leq i + l(c)$ , where  $d$  is the leftdivisor of  $c$  of length  $j - i$ .

We set  $\text{fac}_{-1}(c, (b, m)) := \text{fac}(c^{-1}, (b, m))$ . For each  $i \in \text{fac}_{-1}(c, (b, m))$  we obtain a morphism

$$\pi_{i, c, (b, m), \lambda} : M(b, m, \lambda) \longrightarrow M(c)$$

by identifying  $M(c)$  and  $M(c^{-1})$  just as above.

We have thus defined morphisms  $\pi_{i, c, (b, m), \lambda}$ , called factorstring morphisms, for any  $\lambda \in k^*$  and any

$$i \in \text{fac}(c, (b, m)) := \text{fac}_1(c, (b, m)) \cup \text{fac}_{-1}(c, (b, m)).$$

## 2.6 Morphisms between string and band modules

In this section we will frequently use the abbreviation

$$[X, Y] := \dim_k \text{Hom}_{\mathcal{A}}(X, Y)$$

for  $\mathcal{A}$ -modules  $X, Y$ . The following three propositions are reformulations of results from [5].

**Proposition 2.1.** *Let  $M(b, m, \lambda)$  be a band module and  $M(c)$  a string module. The morphisms*

$$\iota_{d, x} \circ \pi_{i, d, (b, m), \lambda} : M(b, m, \lambda) \longrightarrow M(c),$$

where  $d$  is a string of length at most  $l(c)$ ,  $x \in \text{sub}(d, c)$  and  $i \in \text{fac}(d, (b, m))$ , form a basis of  $\text{Hom}_{\mathcal{A}}(M(b, m, \lambda), M(c))$ . In particular,

$$[M(b, m, \lambda), M(c)] = \sum_{d \in \mathcal{W}, l(d) \leq l(c)} \# \text{fac}(d, (b, m)) \# \text{sub}(d, c).$$

**Proposition 2.2.** *Let  $M(b, m, \lambda)$  be a band module and  $M(c)$  a string module. The morphisms*

$$\iota_{i, d, (b, m), \lambda} \circ \pi_{d, x} : M(c) \longrightarrow M(b, m, \lambda),$$

where  $d$  is a string of length at most  $l(c)$ ,  $x \in \text{fac}(d, c)$  and  $i \in \text{sub}(d, (b, m))$ , form a basis of  $\text{Hom}_{\mathcal{A}}(M(c), M(b, m, \lambda))$ . In particular,

$$[M(c), M(b, m, \lambda)] = \sum_{d \in \mathcal{W}, l(d) \leq l(c)} \# \text{fac}(d, c) \# \text{sub}(d, (b, m)).$$

**Proposition 2.3.** *Let  $M(b, m, \lambda)$  and  $M(c, n, \mu)$  be band modules. The morphisms*

$$\iota_{j,d,(c,n),\mu} \circ \pi_{i,d,(b,m),\lambda} : M(b, m, \lambda) \longrightarrow M(c, n, \mu),$$

where  $d$  is a string,  $j \in \text{sub}(d, (c, n))$  and  $i \in \text{fac}(d, (b, m))$  (together with an isomorphism in case  $M(b, m, \lambda)$  and  $M(c, n, \mu)$  are isomorphic) form a basis of  $\text{Hom}_{\mathcal{A}}(M(b, m, \lambda), M(c, n, \mu))$ .

As an example, we present a result which we will need in section 5.

**Lemma 2.4.** *Let  $M(b, m, \lambda)$  and  $M(c, n, \mu)$  be band modules,  $d$  a string,  $j \in \text{sub}(d, (c, n))$  and  $i \in \text{fac}(d, (b, m))$ . The morphism*

$$\iota_{j,d,(c,n),\mu} \circ \pi_{i,d,(b,m),\lambda} : M(b, m, \lambda) \longrightarrow M(c, n, \mu)$$

is injective if  $m \leq l(d) < n + m$  and  $m < n$ , and it is surjective if  $n \leq l(d) < m + n$  and  $n < m$ .

Note that Lemma 2.4 may become wrong if we drop the condition  $l(d) < m + n$ .

*Proof.* We may assume that  $j = n$  and  $i = m$ . Up to duality it suffices to show that the morphism

$$f := \iota_{j,d,(c,n),\mu} \circ \pi_{i,d,(b,m),\lambda}$$

is injective if  $m \leq l(d) < n + m$  and  $m < n$ . Let  $A$  be the matrix of  $f$  with respect to the bases  $\overline{1} \otimes e_0, \dots, \overline{1} \otimes e_{m-1}$  of  $M(b, m, \lambda)$  and  $\overline{1} \otimes e_0, \dots, \overline{1} \otimes e_{n-1}$  of  $M(c, n, \mu)$ . To show that  $f$  is injective, we list the possible forms of  $A$  depending on the relation between  $n, m$  and  $l(d)$ :

If  $l(d) < n$ , then  $A$  is of the form

$$\begin{pmatrix} A_1 \\ A_2 \end{pmatrix},$$

where  $A_1 \in \text{Mat}(m \times m, k)$  is the identity matrix and  $A_2 \in \text{Mat}(n - m \times m, k)$ . From now on we assume that  $n \leq l(d)$ . If  $2m \leq n$ , then  $A$  is of the form

$$\begin{pmatrix} A_1 \\ A_2 \\ A_3 \end{pmatrix},$$

where  $A_1, A_2 \in \text{Mat}(m \times m, k)$ ,  $A_3 \in \text{Mat}(n - 2m \times m, k)$  and  $A_2 = \lambda \cdot 1_{m \times m}$  is a multiple of the identity matrix. Finally, we assume that  $n < 2m$ . We decompose  $A = B + C$ , where

$$B = \begin{pmatrix} 1_{n-m \times n-m} & 0_{n-m \times 2m-n} \\ 0_{2m-n \times n-m} & 1_{2m-n \times 2m-n} \\ \lambda \cdot 1_{n-m \times n-m} & 0_{n-m \times 2m-n} \end{pmatrix},$$

$$C = \begin{pmatrix} 0_{2m-n \times n-m} & C_1 \\ C_2 & 0_{n-m \times 2m-n} \\ 0_{n-m \times n-m} & 0_{n-m \times 2m-n} \end{pmatrix}$$

and  $C_1$  and  $C_2$  are diagonal matrices. Note that the sizes of the blocks in  $B$  and  $C$  are not necessarily the same. Now we see that  $A$  is of the form

$$\begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \\ A_{31} & A_{32} \end{pmatrix},$$

where

- $A_{11}, A_{31} \in \text{Mat}(n - m \times n - m, k)$ ,
- $A_{12}, A_{32} \in \text{Mat}(n - m \times 2m - n)$ ,
- $A_{21} \in \text{Mat}(2m - n \times n - m, k)$ ,
- $A_{22} \in \text{Mat}(2m - n \times 2m - n)$ ,
- $A_{22}$  is upper triangular and all its entries on the diagonal are 1,
- $A_{31} = \lambda \cdot 1_{n-m \times n-m}$  and
- $A_{32}$  is zero.

□

The following proposition shows that the functions

$$[M(c), -], [-, M(c)] : \text{mod}(\mathcal{A}, d) \longrightarrow \mathbb{N}$$

for  $c \in \mathcal{W}$  separate  $\mathcal{S}$ -families of band modules.

**Proposition 2.5.** *Let  $S = (b_1, \dots, b_m)$  and  $T = (c_1, \dots, c_n)$  be sequences of bands with  $\mathcal{F}(S), \mathcal{F}(T) \subseteq \text{mod}(\mathcal{A}, d)$ . If*

$$[M(c), X] = [M(c), Y] \text{ and } [X, M(c)] = [Y, M(c)]$$

*for any string  $c$ ,  $X \in \mathcal{F}(S)$  and  $Y \in \mathcal{F}(T)$ , then*

$$\mathcal{F}(S) = \mathcal{F}(T).$$

We do not claim that Proposition 2.5 holds for sequences of quasi-bands.

Let  $X, Y$  be  $\mathcal{A}$ -modules. Clearly the dimension vectors of  $X$  and  $Y$  coincide (i.e.  $\dim_k \operatorname{im} X(1_u) = \dim_k \operatorname{im} Y(1_u)$  for all  $u \in Q_0$ ) if and only if  $[P, X] = [P, Y]$  for any projective  $\mathcal{A}$ -module  $P$  if and only if  $[X, J] = [Y, J]$  for any injective  $\mathcal{A}$ -module  $J$ . Recall from [1], that

$$[U, X] - [X, \tau U] = [P_0, X] - [P_1, X],$$

where  $P_1 \rightarrow P_0 \rightarrow U \rightarrow 0$  is a minimal projective presentation of an  $\mathcal{A}$ -module  $U$  and  $\tau$  denotes the Auslander-Reiten translation. Dually, if  $0 \rightarrow U \rightarrow J_0 \rightarrow J_1$  is a minimal injective copresentation of  $U$ , then

$$[X, U] - [\tau^- U, X] = [X, J_0] - [X, J_1].$$

As the Auslander-Reiten translate of a string module is either 0 or a string module (see [2]) and as all projective and injective  $\mathcal{A}$ -modules are string modules, we obtain the following corollary.

**Corollary 2.6.** *Let  $S$  and  $T$  be sequences of bands with  $\mathcal{F}(S), \mathcal{F}(T) \subseteq \operatorname{mod}(\mathcal{A}, d)$  and let  $X \in \mathcal{F}(S)$  and  $Y \in \mathcal{F}(T)$ . The following are equivalent:*

- i)  $\mathcal{F}(S) = \mathcal{F}(T)$
- ii)  $[M(c), X] = [M(c), Y]$  for any string  $c$ .
- iii)  $[X, M(c)] = [Y, M(c)]$  for any string  $c$ .

Before we can prove Proposition 2.5 we need some additional definitions and a technical lemma. For any non-trivial string  $c$  and any quasi-band  $(b, m)$  we set

- $\operatorname{part}_1(c, (b, m)) := \{1 \leq i \leq m : b(i) \cdots b(i + l(c) - 1) = c\},$
- $\operatorname{part}_{-1}(c, (b, m)) := \operatorname{part}_1(c^{-1}, (b, m))$  and
- $\operatorname{part}(c, (b, m)) := \operatorname{part}_1(c, (b, m)) \cup \operatorname{part}_{-1}(c, (b, m)).$

We extend the definition of  $\operatorname{sub}(c, -)$ ,  $\operatorname{fac}(c, -)$  for a string  $c$  and  $\operatorname{part}(c, -)$  for a non-trivial string  $c$  to sequences of quasi-bands instead of a single quasi-band. For a sequence  $S = (b_1, \dots, b_n)$  of bands, we set

- $\operatorname{part}(c, S) := \bigcup_{i=1}^n (\operatorname{part}(c, b_i) \times \{i\}) \subseteq \mathbb{N} \times \mathbb{N}$
- $\operatorname{sub}(c, S) := \bigcup_{i=1}^n (\operatorname{sub}(c, b_i) \times \{i\}) \subseteq \mathbb{N} \times \mathbb{N}$

- $\text{fac}(c, S) := \bigcup_{i=1}^n (\text{fac}(c, b_i) \times \{i\}) \subseteq \mathbb{N} \times \mathbb{N}$

Moreover, we define

$$[c, S] := \sum_{d \in \mathcal{W}, l(d) \leq l(c)} \# \text{fac}(d, c) \# \text{sub}(d, S)$$

and

$$[S, c] := \sum_{d \in \mathcal{W}, l(d) \leq l(c)} \# \text{fac}(d, S) \# \text{sub}(d, c).$$

As direct consequence of Proposition 2.1 and Proposition 2.2 we obtain

**Corollary 2.7.** *Let  $S$  be a sequence of bands and  $X \in \mathcal{F}(S)$ . For any string  $c$  we have*

$$[c, S] = [M(c), X] \text{ and } [S, c] = [X, M(c)].$$

We come to the technical lemma.

**Lemma 2.8.** *Let  $S$  and  $T$  be sequences of bands with  $\text{rank } X(\alpha) = \text{rank } Y(\alpha)$  for any arrow  $\alpha$ ,  $X \in \mathcal{F}(S)$  and  $Y \in \mathcal{F}(T)$  and let  $N \in \mathbb{N}$ . If  $[c, S] = [c, T]$  and  $[S, c] = [T, c]$  for any string  $c$  of length at most  $N$ , then  $\# \text{part}(d, S) = \# \text{part}(d, T)$  for any non-trivial string  $d$  of length at most  $N + 2$ .*

*Proof.* It follows from Corollary 2.7 that  $\# \text{sub}(c, S) = \# \text{sub}(c, T)$  and  $\# \text{fac}(c, S) = \# \text{fac}(c, T)$  for any string  $c$  of length at most  $N$ . We will prove that  $\# \text{part}(d, S) = \# \text{part}(d, T)$  for  $1 \leq l(d) \leq N + 2$  by induction on the length of  $d$ . If  $l(d) = 1$ , we may assume that  $d$  is an arrow. Let  $X \in \mathcal{F}(S)$  and  $Y \in \mathcal{F}(T)$ . We have

$$\# \text{part}(d, S) = \text{rank } X(d) = \text{rank } Y(d) = \# \text{part}(d, T).$$

If  $N + 2 \geq l(d) > 1$ , then  $d$  is of the form  $d = d_1 c d_2$  for a (possibly trivial) string  $c$  of length at most  $N$  and strings  $d_1, d_2$  of length one. We assume that  $\# \text{part}(d, S) \neq \# \text{part}(d, T)$ . By exchanging  $S$  and  $T$  we can assume that  $\# \text{part}(d, S) > \# \text{part}(d, T)$ . By the induction hypothesis we know that  $\# \text{part}(d_1 c, S) = \# \text{part}(d_1 c, T)$  and thus

$$\begin{aligned} \# \text{part}(d_1 c, T) &= \# \text{part}(d_1 c, S) \\ &\geq \# \text{part}(d_1 c d_2, S) \\ &> \# \text{part}(d_1 c d_2, T) \end{aligned}$$

This shows that  $\text{part}(d_1 c, T) - \text{part}(d_1 c d_2, T)$  is non-empty, which implies that there must be a string  $d_3 \neq d_2$  of length one such that  $d_1 c d_3$  is a string.



As  $d_1c$  is non-trivial, there is at most one arrow  $\alpha$  such that  $d_1c\alpha$  is a string and at most one arrow  $\beta$  such that  $d_1c\beta^{-1}$  is a string. Consequently, such arrows  $\alpha$  and  $\beta$  exist and satisfy  $\{\alpha, \beta^{-1}\} = \{d_2, d_3\}$ . We obtain

$$\begin{aligned} \sharp \text{part}(d_1cd_2, S) + \sharp \text{part}(d_1cd_3, S) &= \sharp \text{part}(d_1c, S) \\ &= \sharp \text{part}(d_1c, T) \\ &= \sharp \text{part}(d_1cd_2, T) + \sharp \text{part}(d_1cd_3, T) \end{aligned}$$

and thus  $\sharp \text{part}(d_1cd_3, S) \neq \sharp \text{part}(d_1cd_3, T)$ . If  $d_1$  is an arrow, then  $\sharp \text{fac}(c, S) \neq \sharp \text{fac}(c, T)$  and if  $d_1^{-1}$  is an arrow, then  $\sharp \text{sub}(c, S) \neq \sharp \text{sub}(c, T)$ . This gives a contradiction in any case.  $\square$

*Proof of Proposition 2.5.* By the definition of  $\mathcal{S}$ -families of band modules it suffices to show that

$$\sharp \{1 \leq i \leq m : [b_i] = [b]\} = \sharp \{1 \leq i \leq n : [c_i] = [b]\}$$

for any band  $b$ . We first show that  $\text{part}(d, S) = \text{part}(d, T)$  for any non-trivial string  $d$ . In order to apply Lemma 2.8, we need to show that  $\text{rank } X(\alpha) = \text{rank } Y(\alpha)$  for any arrow  $\alpha$ ,  $X \in \mathcal{F}(S)$  and  $Y \in \mathcal{F}(T)$ . Let  $\alpha$  be an arrow. Let  $p$  and  $q$  be the paths of maximal length such that  $q\alpha$  and  $\alpha p^{-1}$  are strings.

Note that  $M(q\alpha p^{-1}) = P_{s(\alpha)}$ , where  $P_{s(\alpha)}$  is the indecomposable projective module corresponding to the vertex  $s(\alpha)$ , and that  $M(p^{-1})$  is the cokernel of the morphism  $P_{t(\alpha)} \rightarrow P_{s(\alpha)}$ . Applying  $\text{Hom}_{\mathcal{A}}(-, X)$ , we obtain the exact sequence

$$\begin{array}{ccccccc} 0 & \longrightarrow & \text{Hom}_{\mathcal{A}}(M(p^{-1}), X) & \longrightarrow & \text{Hom}_{\mathcal{A}}(P_{s(\alpha)}, X) & \longrightarrow & \text{Hom}_{\mathcal{A}}(P_{t(\alpha)}, X) \\ & & & & \sim \downarrow & & \downarrow \sim \\ & & & & \text{im } X(1_{s(\alpha)}) & \xrightarrow{X(\alpha)} & \text{im } X(1_{t(\alpha)}) \end{array}$$

which shows that  $\text{rank } X(\alpha) = [P_{s(\alpha)}, X] - [M(p^{-1}), X]$  and thus

$$\begin{aligned} \text{rank } X(\alpha) &= [P_{s(\alpha)}, X] - [M(p^{-1}), X] \\ &= [P_{s(\alpha)}, Y] - [M(p^{-1}), Y] \\ &= \text{rank } Y(\alpha). \end{aligned}$$

Applying Lemma 2.8, we obtain  $\sharp \text{part}(d, S) = \sharp \text{part}(d, T)$  for any non-trivial string  $d$ , as desired.

Let  $b = (b, l)$  be a band. For any  $k \geq 1$  we define the string

$$d_k := (b, kl) = b(1)b(2) \cdots b(kl).$$

Clearly  $\sharp \text{part}(d_k, (b, l)) = 1$  for any  $k \geq 1$  and if  $(c, j)$  is a band with  $(c, j) \approx (b, l)$ , then

$$\sharp \text{part}(d_k, (c, j)) = 0$$

for sufficiently large  $k$ . We choose  $K \in \mathbb{N}$ , such that

$$\sharp \text{part}(d_K, x) = \begin{cases} 1 & \text{if } x \sim b \\ 0 & \text{otherwise.} \end{cases}$$

for any  $x \in \{b_1, \dots, b_m, c_1, \dots, c_n\}$ . We obtain the desired equality

$$\begin{aligned} \sharp \{1 \leq i \leq m : [b_i] = [b]\} &= \sharp \text{part}(d_K, S) \\ &= \sharp \text{part}(d_K, T) \\ &= \sharp \{1 \leq i \leq n : [c_i] = [b]\} \end{aligned}$$

□

### 3 Proof of Proposition 1.4 and 1.6

In this section we assume that  $\mathcal{A} = kQ/I$  is a string algebra such that  $I$  is generated by a set of paths of length two. For the proof of Proposition 1.4 we need the following characterization of negligibility.

**Lemma 3.1.** *The equivalence class of a band  $b = (b, m)$  is negligible if and only if the following holds: There are a string  $c$  and arrows  $\alpha, \beta, \gamma, \delta$  such that the sets  $\text{part}(\alpha^{-1}c\beta, b)$  and  $\text{part}(\gamma c\delta^{-1}, b)$  are non-empty and  $\alpha^{-1}c\delta^{-1}$  and  $\gamma c\beta$  are strings.*

*Proof.* If  $(b, m)$  is negligible, one can find a string  $c$  and arrows  $\alpha, \beta, \gamma, \delta$  such that the sets  $\text{part}(\alpha^{-1}c\beta, b)$  and  $\text{part}(\gamma c\delta^{-1}, b)$  are non-empty and  $\alpha^{-1}c\delta^{-1}$  and  $\gamma c\beta$  are strings, by a simple case-by-case analysis which we omit. Indeed, the choice  $c = w$  will work in both cases.

We now assume that there are a string  $c$  and arrows  $\alpha, \beta, \gamma, \delta$  such that the sets  $\text{part}(\alpha^{-1}c\beta, b)$  and  $\text{part}(\gamma c\delta^{-1}, b)$  are non-empty and  $\alpha^{-1}c\delta^{-1}$  and  $\gamma c\beta$  are strings, and we want to show that  $(b, m)$  is negligible. Up to replacing  $(b, m)$  by an equivalent band, we may assume that  $m \in \text{part}_1(\alpha^{-1}c\beta, (b, m))$ . We choose  $n \in \text{part}(\gamma c\delta^{-1}, (b, m))$ . There are two cases to consider:

- $n \in \text{part}_1(\gamma c\delta^{-1}, (b, m))$
- $n \in \text{part}_{-1}(\gamma c\delta^{-1}, (b, m))$

If  $n \in \text{part}_1(\gamma c \delta^{-1}, (b, m))$ , we set

$$w = c, u = b(1) \cdots b(n-1), v = b(n+1) \cdots b(m-1).$$

We have

$$(b, m) = u \gamma v \alpha^{-1} \text{ and } (b, sm) = w \beta x \alpha^{-1} = u \gamma w \delta^{-1} y$$

for an integer  $s \geq 1$  and strings  $x, y$ . From now on we use that  $I$  is generated by paths of length two. As  $\gamma c \delta^{-1}$  is a string, we see that  $\gamma b(1)$  is a string and thus  $\gamma u$  is a string as well. As  $\gamma u$  and  $u \gamma$  are both strings, we obtain that  $u \gamma$  is a quasi-band. Similarly one can show that  $v \alpha^{-1}$  is a quasi-band.

We now assume that  $n \in \text{part}_{-1}(\gamma c \delta^{-1}, (b, m))$ . By the definition of the sets  $\text{part}_1$  and  $\text{part}_{-1}$  we have

$$b(i) = b(n + l(c) + 1 - i)^{-1}$$

for  $1 \leq i \leq l(c)$ . Note that  $l(c) < n$ , as otherwise

$$\beta = b(l(c) + 1) = b(n)^{-1} = \delta^{-1}.$$

Similarly we obtain that  $n + l(c) < m$ . Thus the band  $(b, m)$  is of the form

$$(b, m) = c u c^{-1} v$$

for some non-trivial strings  $u$  and  $v$  of length  $l(u) = n - l(c)$  and  $l(v) = m - n - l(c)$ . As  $\beta = b(l(c) + 1)$  and  $\delta = b(n)$ , we see that  $u$  starts and ends with an arrow. Similarly we obtain that  $v$  starts and ends with an inverse arrow. In order to show that  $(b, m)$  is negligible, it remains to prove that

$$(d, m) := c u^{-1} c^{-1} v$$

is a quasi-band. As  $I$  is generated by paths of length two, it suffices to show that

$$u^{-1} c^{-1} v \text{ and } v c u^{-1}$$

are strings. We show that  $v c u^{-1}$  is a string. We decompose  $v = v' \alpha^{-1}$  and  $u = u' \delta$ . As

$$v' \alpha^{-1} \text{ and } \alpha^{-1} c \delta^{-1} \text{ and } \delta^{-1} (u')^{-1}$$

are strings, we obtain that

$$v c u^{-1} = v' \alpha^{-1} c \delta^{-1} (u')^{-1}$$

is a string. Similarly one can show that  $u^{-1} c^{-1} v$  is a string. □

*Proof of Proposition 1.4.* Let  $b = (b, m)$  be a band such that the closure of  $\mathcal{F}(b) \subseteq \text{mod}(\mathcal{A}, d)$  is not an irreducible component of  $\text{mod}(\mathcal{A}, d)$ . We assume that  $(b, m)$  is not negligible and want to obtain a contradiction.

As  $\mathcal{F}(b)$  is irreducible it must be contained in a irreducible component  $\mathcal{C}$ , which is regular as it contains  $\mathcal{F}(b)$ . Thus there is a sequence  $S = (b_1, \dots, b_n)$  of bands such that the closure of  $\mathcal{F}(S)$  is  $\mathcal{C}$ . As the function  $X \mapsto \text{rank } X(\alpha)$  is lower semi-continuous on  $\text{mod}(\mathcal{A}, d)$ , we see that  $\text{rank } X(\alpha) \leq \text{rank } Y(\alpha)$  for any arrow  $\alpha$ , any  $X \in \mathcal{F}(b)$  and any  $Y \in \mathcal{F}(S)$ . On the other hand,

$$\sum_{\alpha \in Q_1} \text{rank } X(\alpha) = r(X) = d = r(Y) = \sum_{\alpha \in Q_1} \text{rank } Y(\alpha)$$

and thus

$$\sharp \text{part}(\alpha, b) = \text{rank } X(\alpha) = \text{rank } Y(\alpha) = \sharp \text{part}(\alpha, S).$$

For any string  $c$  the functions  $[M(c), -]$  and  $[-, M(c)]$  from  $\text{mod}(\mathcal{A}, d)$  to  $\mathbb{N}$  are upper semi-continuous and thus

$$[c, b] \geq [c, S] \text{ and } [b, c] \geq [S, c].$$

As we know by Proposition 2.5 that strings separate  $\mathcal{S}$ -families of bands, there is a string  $c$  of minimal length with the property that  $[c, b] > [c, S]$  or  $[b, c] > [S, c]$ . We only examine the case  $[c, b] > [c, S]$ , as the other case is treated similarly. It follows from Corollary 2.7 that  $\sharp \text{sub}(c, b) > \sharp \text{sub}(c, S)$ . Thus there are arrows  $\alpha, \beta$  such that  $\alpha^{-1}c\beta$  is a string and

$$\sharp \text{part}(\alpha^{-1}c\beta, b) > \sharp \text{part}(\alpha^{-1}c\beta, S).$$

By Lemma 2.8  $\sharp \text{part}(c\beta, b) = \sharp \text{part}(c\beta, S)$  and therefore there is an arrow  $\gamma$  such that  $\gamma c\beta$  is a string and

$$\begin{aligned} \sharp \text{part}(\alpha^{-1}c\beta, b) + \sharp \text{part}(\gamma c\beta, b) &= \sharp \text{part}(c\beta, b) \\ &= \sharp \text{part}(c\beta, S) \\ &= \sharp \text{part}(\alpha^{-1}c\beta, S) + \sharp \text{part}(\gamma c\beta, S). \end{aligned}$$

In particular  $\sharp \text{part}(\gamma c\beta, b) < \sharp \text{part}(\gamma c\beta, S)$ . Similarly we find an arrow  $\delta$  such that  $\alpha^{-1}c\delta^{-1}$  is a string and  $\sharp \text{part}(\alpha^{-1}c\delta^{-1}, b) < \sharp \text{part}(\alpha^{-1}c\delta^{-1}, S)$ . We obtain

$$\sharp \text{part}(\gamma c, b) - \sharp \text{part}(\gamma c\beta, b) > \sharp \text{part}(\gamma c, S) - \sharp \text{part}(\gamma c\beta, S) \geq 0.$$

Hence the word  $\gamma c\delta^{-1}$  has to be a string and satisfies

$$\sharp \text{part}(\gamma c\delta^{-1}, b) = \sharp \text{part}(\gamma c, b) - \sharp \text{part}(\gamma c\beta, b) > 0.$$

From the characterization of negligibility in Lemma 3.1 we obtain that  $[b]$  is not negligible.  $\square$

For the proof of the dimension formula Proposition 1.6 we need another lemma.

**Lemma 3.2.** *Let  $(b, m)$  and  $(c, n)$  be bands. The pair  $[(b, m), (c, n)]$  is extendable if and only if the following holds: There are a string  $d$  and arrows  $\alpha, \beta, \gamma, \delta$  such that the sets  $\text{part}(\alpha^{-1}d\beta, (b, m))$  and  $\text{part}(\gamma d\delta^{-1}, (c, n))$  are non-empty and  $\alpha^{-1}d\delta^{-1}$  and  $\gamma d\beta$  are strings.*

*Proof.* Set  $w = d$  in the definition of extendability and observe that  $(d, n+m)$  is a quasi-band if and only if  $\alpha^{-1}d\delta^{-1}$  and  $\gamma d\beta$  are strings.  $\square$

*Proof of Proposition 1.6.* Let  $S = (b_1, \dots, b_n)$  be a sequence of bands such that the closure of  $\mathcal{F}(S)$  is an irreducible component in  $\text{mod}(\mathcal{A}, d)$ . From the first part of the main theorem we know that

- $([b_i], [b_j])$  is not extendable for  $i \neq j$  and
- $[b_i]$  is not negligible for all  $i$ .

Let  $M = M(b_1, \lambda_1) \oplus \dots \oplus M(b_n, \lambda_n) \in \mathcal{F}(S)$  such that  $M(b_1, \lambda_1), \dots, M(b_n, \lambda_n)$  are pairwise non-isomorphic. The dimension of  $\overline{\mathcal{F}(S)}$  is given by the formula

$$\dim \overline{\mathcal{F}(S)} = d^2 + n - [M, M],$$

as  $\mathcal{F}(S)$  is an  $n$ -parameter family orbits of dimension  $d^2 - [M, M]$ . Let  $N$  be the set of all tuples  $(i, j, k, l, c)$  consisting of integers  $i, j, k, l$  and a string  $c$  such that  $i \in \text{fac}(c, b_k)$  and  $j \in \text{sub}(c, b_l)$ . By Proposition 2.3 a basis of the space  $\text{Hom}_{\mathcal{A}}(M, M)$  is given by

- $\#N$  morphisms corresponding tuples  $(i, j, k, l, c) \in N$
- $n$  morphisms corresponding to the identities on  $M_i$  for  $i = 1, \dots, n$ .

We thus obtain

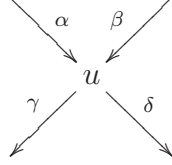
$$\dim \overline{\mathcal{F}(S)} = d^2 - \#N$$

It remains to show that the cardinality of  $N$  is

$$\#N = \sum_{\substack{u \in Q_0 \\ u \text{ non-gentle}}} [X, M(1_u)][M(1_u), X]$$

for any  $X \in \mathcal{F}(S)$ . Let  $(i, j, k, l, c) \in N$ . There are arrows  $\alpha, \beta, \gamma, \delta$  such that the sets  $\text{part}(\alpha^{-1}c\beta, b_k)$  and  $\text{part}(\gamma c\delta^{-1}, b_l)$  are non-empty. Applying Lemma 3.2 in case  $k \neq l$  and Lemma 3.1 in case  $k = l$ , we obtain that at least one

of the words  $\alpha^{-1}c\delta^{-1}$  and  $\gamma c\beta$  cannot be a string. This can only happen if  $c$  is trivial. Let  $u$  be the vertex of  $Q$  with  $c = 1_u$ :



We apply the same lemmas once again: As the sets  $\text{part}(\beta^{-1}\alpha, b_k)$  and  $\text{part}(\gamma\delta^{-1}, b_l)$  are non-empty, we obtain that at least one of the words  $\beta^{-1}\delta^{-1}$  and  $\gamma\alpha$  cannot be a string. Therefore none of the pairs of words

- $(\delta\alpha, \gamma\beta)$
- $(\gamma\alpha, \delta\beta)$

can be a pair of strings. But this is only possible if the vertex  $u$  is non-gentle. For the cardinality of  $N$  we thus obtain

$$\begin{aligned}
\sharp N &= \sum_{\substack{u \in Q_0 \\ u \text{ non-gentle}}} \sharp \text{fac}(1_u, S) \sharp \text{sub}(1_u, S) \\
&= \sum_{\substack{u \in Q_0 \\ u \text{ non-gentle}}} [S, 1_u][1_u, S] \\
&= \sum_{\substack{u \in Q_0 \\ u \text{ non-gentle}}} [X, M(1_u)][M(1_u), X]
\end{aligned}$$

for any  $X \in \mathcal{F}(S)$ , which completes the proof.  $\square$

## 4 Regular components of indecomposable modules

An  $\mathcal{A}$ -module  $Y \in \text{mod}(\mathcal{A}, d)$  is called a degeneration of  $X \in \text{mod}(\mathcal{A}, d)$  if  $Y$  belongs to the closure of the  $\text{GL}_d(k)$ -orbit of  $X$  in  $\text{mod}(\mathcal{A}, d)$ . In that case we also say that  $X$  degenerates to  $Y$  and write  $X \leq_{\text{deg}} Y$ . We extend this notion to sequences of strings and quasi-bands: Let  $S$  and  $S'$  be finite sequences of strings and quasi-bands. We call  $S$  and  $S'$  equivalent, denoted by  $S =_{\text{deg}} S'$ , if  $\overline{\mathcal{F}(S)} = \overline{\mathcal{F}(S')}$ , and we say that  $S$  degenerates to  $S'$ , in symbols  $S \leq_{\text{deg}} S'$ , if  $\mathcal{F}(S') \subseteq \overline{\mathcal{F}(S)}$ . Note that  $\leq_{\text{deg}}$  defines a partial order on the set of equivalence classes of finite sequences of strings and quasi-bands. Two sequences of strings and bands

$$S = (c_1, \dots, c_l, b_1, \dots, b_n) \text{ and } S' = (c'_1, \dots, c'_{l'}, b'_1, \dots, b'_{n'})$$

are equivalent if and only if  $l = l'$ ,  $n = n'$  and there are permutations  $\sigma \in S_l$  and  $\tau \in S_n$  satisfying

- $c'_{\sigma(i)} \in \{c_i, c_i^{-1}\}$  for  $i = 1, \dots, l$  and
- $b'_{\tau(j)} \sim b_j$  for  $j = 1, \dots, n$ .

Note that this characterization might also hold for sequences of strings and quasi-bands, but we do not need it.

We establish two types of degenerations between sequences of bands, which yield a proof for Proposition 1.3.

*Proof of Proposition 1.3.* Let  $(b, m)$  be a band such that the closure of

$$\mathcal{F}(b, m) \subseteq \text{mod}(\mathcal{A}, d)$$

is an irreducible component. If we assume that  $(b, m)$  is negligible, we can apply one of the following degenerations and obtain that  $\mathcal{F}(b, m)$  is contained in the closure of another  $\mathcal{S}$ -family of quasi-band modules, which is impossible as  $\overline{\mathcal{F}(b, m)}$  is an irreducible component.  $\square$

The first degeneration can be described as follows: Cut off a piece of a suitable quasi-band, reverse the piece and reconnect it:

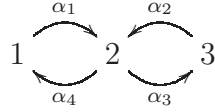
$$(b, m) = \begin{array}{ccc} & \xleftarrow{w^{-1}} & \\ u \downarrow & & \uparrow v \\ & \xrightarrow{w} & \end{array} \rightsquigarrow (c, m) = \begin{array}{ccc} & \xleftarrow{w^{-1}} & \\ u \downarrow & & \downarrow v \\ & \xrightarrow{w} & \end{array}$$

**Proposition 4.1.** *Let  $(b, m)$  be a quasi-band and assume that there is a string  $u$  that starts and ends with an arrow, a string  $v$  that starts and ends with an inverse arrow and a string  $w$  such that  $(b, m) = wuw^{-1}v$  and*

$$(c, m) := wuw^{-1}v^{-1}$$

*is a quasi-band. Then  $(c, m) <_{deg} (b, m)$ .*

*Proof.* Let  $\tilde{Q}$  be the quiver



and  $\mathcal{V}$  be the variety of representations  $X = (X(\alpha_1), X(\alpha_2), X(\alpha_3), X(\alpha_4))$  of  $\tilde{Q}$  with dimension vector  $(1, 2, 1)$ , i.e.

$$\mathcal{V} = \text{Mat}(2 \times 1, k) \times \text{Mat}(2 \times 1, k) \times \text{Mat}(1 \times 2, k) \times \text{Mat}(1 \times 2, k).$$

For  $\lambda, \mu \in k, \lambda \neq 0$ , consider the representations

$$X_{\lambda, \mu} = \left( \begin{pmatrix} \lambda^{-1} \\ \mu \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 \end{pmatrix}, \begin{pmatrix} -\lambda\mu & 1 \end{pmatrix} \right) \in \mathcal{V},$$

$$Y_\nu := \left( \begin{pmatrix} 0 \\ \nu^{-1} \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \end{pmatrix} \right) \in \mathcal{V}.$$

The algebraic group  $G = k^* \times \text{GL}_2(k) \times k^*$  acts on  $\mathcal{V}$  in the usual way, i.e.

$$(\varphi, \chi, \psi) \star (X_1, X_2, X_3, X_4) := (\chi X_1 \varphi^{-1}, \chi X_2 \psi^{-1}, \psi X_3 \chi^{-1}, \varphi X_4 \chi^{-1}).$$

For  $\lambda, \mu \in k^*$  we apply the base change

$$g = (-\lambda^{-1}\mu^{-1}, \begin{pmatrix} 1 & -\lambda^{-1}\mu^{-1} \\ 0 & 1 \end{pmatrix}, 1)$$

to  $X_{\lambda, \mu}$  and obtain  $g \star X_{\lambda, \mu} = Y_{-\lambda^{-1}\mu^{-2}}$ . Thus  $X_{\lambda, \mu}$  belongs to a  $G$ -orbit of  $Y_\nu$  for some  $\nu \in k^*$ , as long as  $\mu \neq 0$ .

Let  $A$  be the set of all paths of  $Q$  of length at most one, i.e.  $A = Q_1 \cup \{1_x : x \in Q_0\}$ . We identify the affine variety  $\text{mod}(\mathcal{A}, m)$  with a subvariety of  $M_m(k)^A$ . To show that  $M(b, m, \lambda)$  belongs to the closure of  $\mathcal{F}(c, m)$ , we define a morphism

$$\phi : \mathcal{V} \longrightarrow M_m(k)^A$$

satisfying  $\phi(X_{\lambda, \mu}) \in \mathcal{F}(c, m)$  for  $\mu \neq 0$  and  $\phi(X_{\lambda, 0}) \simeq M(b, m, \lambda)$ . Note that we will define  $\phi$  in such a way that  $\phi(\mathcal{V}) \subseteq \text{mod}(kQ, m)$ .



Here is the definition of  $\phi$ : Let

$$X = (X(\alpha_1), X(\alpha_2), X(\alpha_3), X(\alpha_4)) \in \mathcal{V}$$

and let  $Z$  be the  $\mathcal{A}$ -module  $Z = V \oplus M(w)^2 \oplus U$ , where

$$V = \begin{cases} 0 & \text{if } l(v) = 1 \\ M(v') & \text{if } v = \beta^{-1}v'\alpha^{-1} \end{cases}$$

and

$$U = \begin{cases} 0 & \text{if } l(u) = 1 \\ M(u') & \text{if } u = \gamma u'\delta \end{cases}$$

We decompose  $U, V$  and  $M(w)^2$  as  $k$ -vector spaces:

$$M(w)^2 = \bigoplus_{d \in \text{Ld}(w)} W_d,$$

where  $W_d = \text{span}_k\{(e_d, 0), (0, e_d)\}$ ,

$$V = M(v') = V_{1_{t(v')}} \oplus \cdots \oplus V_{v'}$$

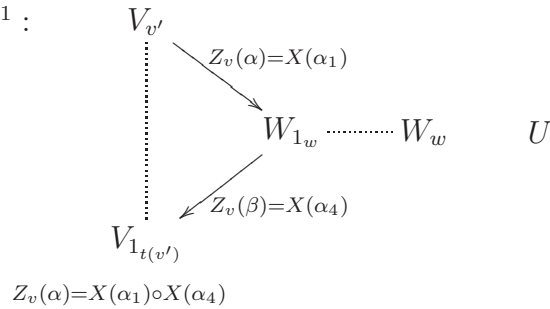
in case  $v = \beta^{-1}v'\alpha^{-1}$ , where  $V_d := \text{span}_k\{e_d\}$  for  $d \in \text{Ld}(v')$  and

$$U = U_{1_{t(u')}} \oplus \cdots \oplus U_{u'}$$

in case  $u = \gamma u'\delta$ , where  $U_d = \text{span}_k\{e_d\}$  for  $d \in \text{Ld}(u')$ .

We identify  $M_m(k)$  with  $\text{End}_k(Z)$ , each  $W_d$  with  $k^2$  and each  $U_d$  and  $V_d$  with  $k$  and set  $\phi(X) = Z + Z_v + Z_u$ , where the definition of  $Z_v, Z_u \in \text{End}_k(Z)$  depends on the lengths of  $u$  and  $v$ .

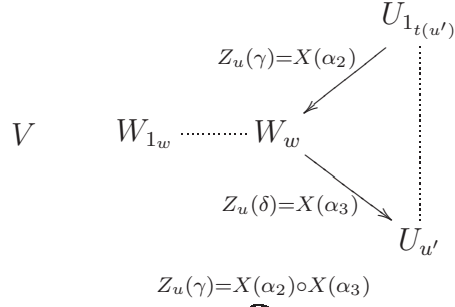
Case  $v = \beta^{-1}v'\alpha^{-1}$  :



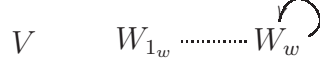
Case  $v = \alpha^{-1}$  :



Case  $u = \gamma u' \delta$  :



Case  $u = \gamma$  :



By the definition of  $\phi$  we have:

- $\phi(X_{\lambda,0}) \simeq M(b, m, \lambda) \in \mathcal{F}(b, m)$  for any  $\lambda \in k^*$ .
- $\phi(Y_\nu) \in \mathcal{F}(c, m)$  for any  $\nu \in k^*$ .

The morphism  $\phi$  is  $G$ -equivariant with respect to the morphism of algebraic groups  $G \longrightarrow \mathrm{GL}_m(k)$  sending  $(\varphi, \chi, \psi)$  to

$$\begin{pmatrix} \varphi \cdot 1_V & & & & \\ & \chi & & & \\ & & \ddots & & \\ & & & \chi & \\ & & & & \psi \cdot 1_U \end{pmatrix}.$$

Therefore  $\phi(X_\lambda, \mu)$  belongs to  $\mathcal{F}(c, m)$  for  $\mu \neq 0$  and thus  $M(b, m, \lambda) \simeq \phi(X_{\lambda,0})$  belongs to the closure of  $\mathcal{F}(c, m)$  for any  $\lambda \in k^*$ .

To complete the proof we show that

$$\overline{\mathcal{F}(b, m)} \neq \overline{\mathcal{F}(c, m)}.$$

As  $\sharp \mathrm{sub}(w, (b, m)) \neq \sharp \mathrm{sub}(w, (c, m))$ , there is a string  $a$  with  $[a, (b, m)] \neq [a, (c, n)]$  and thus minimum of the function

$$[M(a), -] : \mathrm{mod}(\mathcal{A}, d) \longrightarrow \mathbb{N}$$

on  $\overline{\mathcal{F}(b, m)}$  differs from the minimum on  $\overline{\mathcal{F}(c, m)}$ , which implies that these two sets cannot be equal.  $\square$

The second degeneration can be described as follows: Cut a suitable quasi-band into two pieces, and close each piece to separate quasi-bands.

$$(b, m) \quad \rightsquigarrow \quad (c, n) \quad (d, m - n)$$

$$\begin{array}{ccc} \begin{array}{c} \xleftarrow{\gamma} \\ u \downarrow \quad \uparrow v \\ \xrightarrow{\alpha^{-1}} \end{array} & \begin{array}{c} \downarrow u \\ \gamma \end{array} & \begin{array}{c} \alpha^{-1} \left( \uparrow v \right) \end{array} \end{array}$$

**Proposition 4.2.** *Let  $(b, m)$  be a quasi-band and assume that there are strings  $u, v, w, x, y$ , arrows  $\alpha, \beta, \gamma, \delta$  and an  $s \geq 1$  with*

$$(b, m) = u\gamma v\alpha^{-1} \text{ and } (b, sm) = w\beta x\alpha^{-1} = u\gamma w\delta^{-1}y$$

such that

$$(c, n) := u\gamma \text{ and } (d, m - n) := v\alpha^{-1}$$

are quasi-bands. Then  $((c, n), (d, m - n)) <_{\deg} (b, m)$ .

*Proof.* We only show that  $((c, n), (d, m - n)) \leq_{\deg} (b, m)$  and leave the proof of the inequality  $((c, n), (d, m - n)) \neq_{\deg} (b, m)$  to the reader, as it is nearly the same as in the proof of Proposition 4.1.

Let  $\mu, \nu \in k^*$  and set  $M := M(d, m - n, \mu)$  and  $N := M(c, n, \nu)$ . For any  $h \in \text{Hom}_k(M, N)$  there is a unique  $\mathcal{A}$ -module structure  $X_{h, \mu, \nu}$  on the vector space  $N \oplus M$  such that

$$\begin{pmatrix} 1_N & h \\ 0 & 1_M \end{pmatrix} : X_{h, \mu, \nu} \longrightarrow N \oplus M$$

is an  $\mathcal{A}$ -isomorphism. By definition, we know that

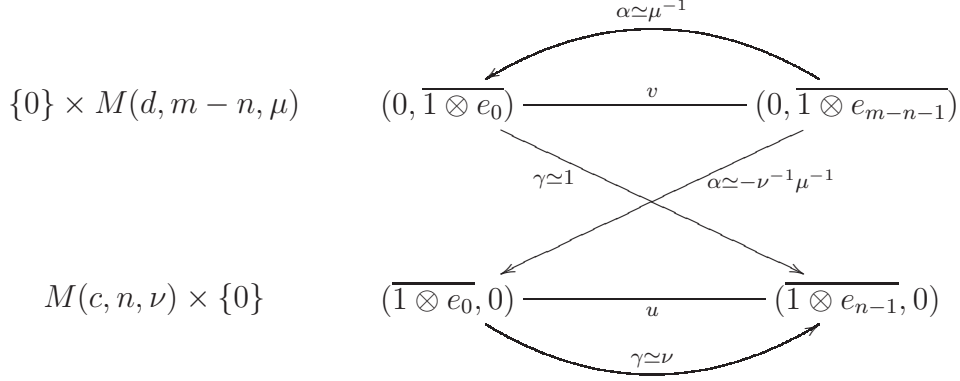
$$X_{h, \mu, \nu}(a) = \begin{pmatrix} N(a) & \zeta(a) \\ 0 & M(a) \end{pmatrix}$$

with  $\zeta(a) = N(a)h - hM(a)$  for any  $a \in \mathcal{A}$ . Note that  $\zeta(a) = 0$  for all  $a \in \mathcal{A}$  in case  $h$  is  $\mathcal{A}$ -linear.

We will construct an  $h \in \text{Hom}_k(M, N)$  in such away that

$$\zeta(\epsilon)(\overline{1 \otimes e_i}) = \begin{cases} -\nu^{-1}\mu^{-1}\overline{1 \otimes e_0} & \text{if } i = m - n - 1 \text{ and } \epsilon = \alpha, \\ \overline{1 \otimes e_{n-1}} & \text{if } i = 0 \text{ and } \epsilon = \gamma, \\ 0 & \text{otherwise,} \end{cases}$$

for  $0 \leq i < m - n$  and for any path  $\epsilon$  of length at most one. Here is a picture of the module  $X_{\mu, \nu} := X_{h, \mu, \nu}$ :



(An arrow from  $x$  to  $y$  labeled by  $\alpha \simeq \lambda$  indicates that  $X_{h,\mu,\nu}(\alpha)(x) = \lambda y$ .)

We postpone the construction of  $h$  and show how to complete the proof, once  $h$  is defined: For a fixed  $\lambda \in k^*$  the module  $M(b, m, \lambda)$  belongs to the closure of the one-parameter family  $Y_\mu := X_{\mu, -\lambda\mu^{-1}}$ ,  $\mu \in k^*$ . Consequently,

$$((c, n), (d, m-n)) \leq_{deg} (b, m).$$

In order to define  $h$ , we have to show that

$$c(1) \cdots c(l(w) + 1) = w\beta = b(1) \cdots b(l(w) + 1).$$

Let  $0 < i \leq l(w) + 1$ . Obviously  $c(i) = b(i)$  if  $i \leq n$ . If  $n < i$ , we may assume inductively that  $c(i-n) = b(i-n)$  and thus

$$c(i) = c(i-n) = b(i-n) = b(i).$$

Similarly, one can show that

$$d(1) \cdots d(l(w) + 1) = w\delta^{-1}.$$

There are integers  $t, r \geq 0$  and strings  $z, z'$  such that

$$(c, tn) = w\beta z\gamma \text{ and } (d, r(m-n)) = w\delta^{-1} z' \alpha^{-1}.$$

Let  $g : M(w) \longrightarrow M(c, tn, \nu^t)$  be the  $k$ -linear map sending  $e_d$  to  $\overline{1 \otimes e_{l(d)}}$  for  $d \in \text{Ld}(w)$ . We have

$$(g \circ \epsilon - \epsilon \circ g)(e_d) = \begin{cases} -\nu^t \overline{1 \otimes e_{tn-1}} & \text{if } d = 1_{t(w)} \text{ and } \epsilon = \gamma, \\ 0 & \text{otherwise,} \end{cases}$$

for any path  $\epsilon$  of length at most one and any  $d \in \text{Ld}(w)$ . Similarly, let  $f : M(d, r(m-n), \mu^r) \longrightarrow M(w)$  be the  $k$ -linear map sending  $\overline{1 \otimes e_i}$  to

$$\begin{cases} e_d & \text{if } 0 \leq i \leq l(w), d \in \text{Ld}(w), l(d) = i \\ 0 & \text{if } l(w) < i < r(m-n) \end{cases}$$

for  $i = 0, \dots, r(m-n) - 1$ . The map  $f$  satisfies

$$(f \circ \epsilon - \epsilon \circ f)(\overline{1 \otimes e_i}) = \begin{cases} \mu^{-r} e_{1_{t(w)}} & \text{if } i = tn - 1 \text{ and } \epsilon = \alpha, \\ 0 & \text{otherwise,} \end{cases}$$

for any path  $\epsilon$  of length at most one and  $0 \leq i < tn$ . For the composition of  $f$  and  $g$ , we have

$$(g \circ f \circ \epsilon - \epsilon \circ g \circ f)(\overline{1 \otimes e_i}) = \begin{cases} \mu^{-r} \overline{1 \otimes e_0} & \text{if } i = tn - 1 \text{ and } \epsilon = \alpha, \\ -\nu^t \overline{1 \otimes e_{r(m-n)-1}} & \text{if } i = 0 \text{ and } \epsilon = \gamma, \\ 0 & \text{otherwise,} \end{cases}$$

for any path  $\epsilon$  of length at most one and  $0 \leq i < r(m-n)$ . Let  $h'$  be the composition

$$M(d, m-n, \mu) \longrightarrow M(d, r(m-n), \mu^r) \xrightarrow{g \circ f} M(c, tn, \nu^t) \longrightarrow M(c, n, \nu),$$

where the first map is an unwinding morphism and the last map is a winding morphism. We have

$$(h' \circ \epsilon - \epsilon \circ h')(\overline{1 \otimes e_i}) = \begin{cases} \mu^{-1} \overline{1 \otimes e_0} & \text{if } i = tn - 1 \text{ and } \epsilon = \alpha, \\ -\nu \overline{1 \otimes e_{m-n-1}} & \text{if } i = 0 \text{ and } \epsilon = \gamma, \\ 0 & \text{otherwise,} \end{cases}$$

for any path  $\epsilon$  of length at most one and any  $0 \leq i < m-n$ . Finally, we set  $h := -\nu^{-1} h'$ .

□

## 5 Extensions

Let  $(b, m)$  and  $(c, n)$  be bands. Proposition 1.2 will follow from the following two lemmas.

**Lemma 5.1.** *If the pair  $((b, m), (c, n))$  is not extendable, then*

$$\mathrm{Ext}_{\mathcal{A}}^1(M(b, m, \lambda), M(c, n, \mu)) = 0$$

*for any  $\lambda, \mu \in k^*$  with  $\lambda \neq \mu, \mu^{-1}$ .*

**Lemma 5.2.** *If the pair  $((b, m), (c, n))$  is extendable, there is a non-split short exact sequence of  $\mathcal{A}$ -modules*

$$0 \longrightarrow X \longrightarrow M_{X,Y} \longrightarrow Y \longrightarrow 0$$

*with  $M_{X,Y} \in \mathcal{F}(d, n+m)$  for any  $X \in \mathcal{F}(c, n)$ ,  $Y \in \mathcal{F}(b, m)$ , where*

$$(d, n+m) = c(1) \cdots c(n)b(1) \cdots b(m).$$

Recall from [6] that an  $\mathcal{A}$ -module  $A$  degenerates to a direct sum of  $\mathcal{A}$ -modules  $B \oplus C$  whenever there is a short exact sequence

$$0 \longrightarrow B \longrightarrow A \longrightarrow C \longrightarrow 0.$$

Combining this result with Lemma 5.2, we obtain

**Corollary 5.3.** *If the pair  $((b, m), (c, n))$  is extendable, then*

$$\mathcal{F}((c, n), (b, m)) \subseteq \overline{\mathcal{F}(d, n+m)},$$

*where*

$$(d, n+m) = c(1) \cdots c(n)b(1) \cdots b(m).$$

*Proof of Lemma 5.1.* By [2] the Auslander-Reiten translate  $\tau^{-1}M$  of a band module  $M$  is isomorphic to  $M$ . It is well known that

$$\mathrm{Ext}_{\mathcal{A}}^1(N, M) \simeq \underline{\mathrm{Hom}}_{\mathcal{A}}(\tau^{-1}M, N)$$

for any finite dimensional  $\mathcal{A}$ -modules  $M, N$ , where

$$\underline{\mathrm{Hom}}_{\mathcal{A}}(\tau^{-1}M, N) = \mathrm{Hom}_{\mathcal{A}}(\tau^{-1}M, N) / \mathcal{P}(\tau^{-1}M, N)$$

and  $\mathcal{P}(\tau^{-1}M, N)$  is the subspace of  $\mathrm{Hom}_{\mathcal{A}}(\tau^{-1}M, N)$  consisting of all homomorphisms that factor through a projective  $\mathcal{A}$ -module. Therefore it suffices to show that any morphism  $M(c, n, \mu) \longrightarrow M(b, m, \lambda)$  factors through a

projective  $\mathcal{A}$ -module. The condition  $\lambda \neq \mu, \mu^{-1}$  asserts that  $M(c, n, \mu)$  and  $M(b, m, \lambda)$  are non-isomorphic and this allows us to apply Proposition 2.3, which yields a basis for

$$\text{Hom}_{\mathcal{A}}(M(c, n, \mu), M(b, m, \lambda)).$$

We show that any morphism of the form

$$\iota_{j,w,(b,m),\lambda} \circ \pi_{i,w,(c,n),\mu} : M(c, n, \mu) \longrightarrow M(b, m, \lambda)$$

with  $j \in \text{sub}(w, (b, m))$  and  $i \in \text{fac}(w, (c, n))$  factors through a projective  $\mathcal{A}$ -module. Fix a string  $w$ ,  $j \in \text{sub}(w, (b, m))$  and  $i \in \text{fac}(w, (c, n))$ . Up to equivalence of bands, we may assume that  $i = n$  and  $j = m$ . Moreover, we can assume that  $m \in \text{sub}_1(w, (b, m))$  and  $n \in \text{sub}_1(w, (c, n))$  up to replacing  $\lambda$  by  $\lambda^{-1}$  and  $\mu$  by  $\mu^{-1}$  if necessary. By the definition of the sets  $\text{sub}_1$  and  $\text{fac}_1$  there are  $s, t \geq 1$ , strings  $u, v$  and arrows  $\alpha, \beta, \gamma, \delta$  with

$$(b, sm) = w\beta u\alpha^{-1} \text{ and } (c, tn) = w\delta^{-1}v\gamma.$$

As the pair  $((b, m), (c, n))$  is not extendable,

$$(d, n + m) = c(1) \cdots c(n)b(1) \cdots b(m)$$

cannot be a quasi-band. As  $c(n) = \gamma$  and  $b(m) = \alpha^{-1}$  this can only happen if one of the words

$$c(1) \cdots c(n)b(1) \cdots b(m-1) \text{ and } b(1) \cdots b(m)c(1) \cdots c(n-1)$$

is not a string. We just consider the case, where the word

$$x := c(1) \cdots c(n)b(1) \cdots b(m-1)$$

is not a string, as the other case is treated similarly. Let  $1 \leq i \leq n$  be minimal with the property that  $c(i), c(i+1), \dots, c(n)$  are arrows and let  $1 \leq j \leq m-1$  be maximal, such that  $b(1), \dots, b(j)$  are arrows. As  $x$  is not a string, we see that the path

$$c(i)c(i+1) \cdots c(n)b(1) \cdots b(j)$$

belongs to the ideal  $I$ . We set

$$q = c(i)c(i+1) \cdots c(n) \text{ and } r = b(1) \cdots b(j).$$

Obviously  $w\beta \in \text{Ld}(r)$ , because otherwise  $r \in \text{Ld}(w)$ , which implies that  $qr$  is a string. We may thus decompose  $r = wr'$  for some non-trivial path

$r'$ . Let  $P = P_{s(r)}$  be the projective  $\mathcal{A}$ -module corresponding to the vertex  $s(r)$ . Obviously  $P$  is isomorphic to  $M(q'rp^{-1})$  for some paths  $p$  and  $q'$  with  $q = q''q'$  for a non-trivial path  $q''$ . We obtain the sequence of morphisms

$$M(c, n, \mu) \xrightarrow{h} M(q'w) \xrightarrow{g} P = M(q'wr'p^{-1}) \xrightarrow{f} M(b, m, \lambda),$$

where  $h$  is the factorstring morphism corresponding to

$$n - l(q') \in \text{fac}_1(q'w, (c, n)),$$

$g$  is the substring morphism corresponding to the decomposition

$$q'rp^{-1} = (1_{t(q')}) (q'w) (r'p^{-1})$$

and  $f$  is the morphism sending  $e_{q'r}$  to  $\overline{1 \otimes e_{l(r)}}$ . As

$$f \circ g \circ h = \iota_{j,w,(b,m),\lambda} \circ \pi_{i,w,(c,n),\mu},$$

the proof is complete. □

*Proof of Lemma 5.2.* As  $((b, m), (c, n))$  is extendable, there are  $s, t \geq 1$ , strings  $u, v, w$  and arrows  $\alpha, \beta, \gamma, \delta$  with

$$(b, sm) = w\beta u\alpha^{-1} \text{ and } (c, tn) = w\delta^{-1}v\gamma$$

such that

$$(d, n+m) := (c, n)(b, m) := c(1) \cdots c(n)b(1) \cdots b(m)$$

is a quasi-band.

Let  $x = c(1) \cdots c(n)$  and  $y = b(1) \cdots b(m)$  as strings. We divide the proof into four steps:

- a)  $l(w) < n+m$ ,
- b)  $n+m \in \text{sub}(xw, (d, n+m))$ ,
- c)  $n \in \text{fac}(yw, (d, n+m))$  and
- d) for any  $\lambda, \mu \in k^*$  the sequence

$$0 \longrightarrow M(c, n, \mu) \xrightarrow{f} M(d, n+m-\lambda\mu) \xrightarrow{g} M(b, m, \lambda) \longrightarrow 0,$$

where

$$f = \iota_{n+m,xw,(d,n+m),-\lambda\mu} \circ \pi_{n,xw,(c,n),\mu}$$

and

$$g = \iota_{m,yw,(b,m),\lambda} \circ \pi_{n,yw,(d,n+m),-\lambda\mu}$$

is exact and does not split.



*Proof of a):* If we assume that  $l(w) \geq n + m$ , we obtain the contradiction

$$\alpha^{-1} = b(m) = c(m) = c(n + m) = b(n + m) = b(n) = c(n) = \gamma.$$

*Proof of b):* As  $d(n + m) = b(m) = \alpha^{-1}$  is an inverse arrow and  $d(i) = c(i)$  for  $i = 1, \dots, n$ , it suffices to show that  $d(i + n) = b(i)$  for  $i = 1, \dots, l(w) + 1$ , as  $b(l(w) + 1) = \beta$  is an arrow. This is obvious if  $i \leq m$ . We may thus assume that  $i > m$ . As  $l(w) < n + m$  by a), we see that  $0 < i - m \leq n$  and  $i - m \leq l(w)$  and thus

$$d(i + n) = d(i - m) = c(i - m) = b(i - m) = b(i).$$

Statement c) follows dually.

*Proof of d):* We decompose  $f$  as the sum  $\sum_{i=0}^{l(w)+n} f_i$  of  $k$ -linear maps

$$f_i : M(c, n, \mu) \longrightarrow M(d, n + m, -\lambda\mu).$$

Note that, in order to keep the coefficients combinatorially simple, we adapt the basis of  $M(c, n, \mu)$  to  $i$  for the definition of  $f_i$ : For  $0 \leq i \leq l(w) + n$  and  $i \leq l < i + n$  we set

$$f_i(\overline{1 \otimes e_l}) := \begin{cases} \overline{1 \otimes e_i} & \text{if } i = l \\ 0 & \text{otherwise.} \end{cases}$$

Similarly, we decompose  $g$  as the sum  $\sum_{k=n}^{l(w)+m+n} g_k$  of  $k$ -linear maps

$$g_k : M(d, n + m, -\lambda\mu) \longrightarrow M(b, m, \lambda),$$

where  $g_k$  is defined as follows: For  $n \leq k \leq l(w) + n + m$  and  $k \leq l < k + n + m$  we set

$$g_k(\overline{1 \otimes e_l}) := \begin{cases} \overline{1 \otimes e_{l-n}} & \text{if } k = l \\ 0 & \text{otherwise.} \end{cases}$$

Applying Lemma 2.4, we see that  $f$  is injective and  $g$  surjective. In order to prove that the sequence is exact, it remains to show that  $g \circ f = 0$ . We have

$$g \circ f = \sum_{i=0}^{l(w)+n} \sum_{k=n}^{l(w)+m+n} g_k \circ f_i.$$

We claim that  $g_k \circ f_i = 0$  unless  $(i, k)$  belongs to one of the disjoint sets

$$A := \{(i, i + n + m) : 0 \leq i \leq l(w)\}$$

and

$$B := \{(i, i) : n \leq i \leq l(w) + n\}.$$

Assume that there are  $0 \leq i \leq l(w) + n$ ,  $n \leq k \leq n + m + l(w)$  and  $0 \leq l < n$  such that  $g_k \circ f_i(\overline{1 \otimes e_l}) \neq 0$ . From the definition of  $f_i$  we obtain  $l - i \in n\mathbb{Z}$  and  $f_i(\overline{1 \otimes e_l}) = \xi(\overline{1 \otimes e_i})$  for a  $\xi \in k^*$  and from the definition of  $g_k$  we see that  $k - i \in (n + m)\mathbb{Z}$ . As  $-l(w) \leq k - i \leq n + m + l(w)$  and  $l(w) < n + m$ , we obtain either  $k - i = 0$  and thus  $(i, k) \in B$  or  $k - i = n + m$  and hence  $(i, k) \in A$ . We have

$$g \circ f = \sum_{(i,k) \in A} g_k \circ f_i + \sum_{(i,k) \in B} g_k \circ f_i = \sum_{(i,k) \in A} (g_k \circ f_i + g_{k-m} \circ f_{i+n})$$

and suffices to show that  $(g_k \circ f_i + g_{k-m} \circ f_{i+n}) = 0$  for  $(i, k) \in A$ . Let  $(i, k) \in A$ . For any  $i \leq l < n + i$  we have

$$f_i(\overline{1 \otimes e_l}) = f_{i+n}(\overline{1 \otimes e_l}) = 0$$

and thus

$$g_k \circ f_i(\overline{1 \otimes e_l}) + g_{k-m} \circ f_{i+n}(\overline{1 \otimes e_l}) = 0,$$

unless  $l = i$ . In case  $l = i$  we obtain

$$\begin{aligned} g_k f_i(\overline{1 \otimes e_i}) &= g_k(\overline{1 \otimes e_i}) \\ &= g_k(-\lambda\mu \cdot \overline{1 \otimes e_{i+n+m}}) \\ &= g_k(-\lambda\mu \cdot \overline{1 \otimes e_k}) \\ &= -\lambda\mu \cdot \overline{1 \otimes e_{k-n}} \end{aligned}$$

and

$$\begin{aligned} g_{k-m} f_{i+n}(\overline{1 \otimes e_i}) &= g_{k-m} f_{i+n}(\mu \cdot \overline{1 \otimes e_{i+n}}) \\ &= g_{k-m}(\mu \cdot \overline{1 \otimes e_{i+n}}) \\ &= g_{k-m}(\mu \cdot \overline{1 \otimes e_{k-m}}) \\ &= \mu \cdot \overline{1 \otimes e_{k-m-n}} \\ &= \lambda\mu \cdot \overline{1 \otimes e_{k-n}} \end{aligned}$$

and thus  $g \circ f = 0$ .

To complete the proof, it remains to show that the short exact sequence

$$0 \longrightarrow M(c, n, \mu) \xrightarrow{f} M(d, n + m, -\lambda\mu) \xrightarrow{g} M(b, m, \lambda) \longrightarrow 0,$$

does not split. It suffices to show that  $M(d, n + m, -\lambda\mu)$  is not isomorphic to  $M(c, n, \mu) \oplus M(b, m, \lambda)$ . As

$$\sharp \text{sub}(w, (c, n)) + \sharp \text{sub}(w, (b, m)) > \sharp \text{sub}(w, (d, n + m)),$$

there is an  $\mathcal{A}$ -module  $U$ , such that

$$[U, M(d, n + m, -\lambda\mu)] \neq [U, M(c, n, \mu) \oplus M(b, m, \lambda)],$$

by Proposition 2.2 about the homomorphism spaces between string and band modules. This shows that  $M(d, n + m, -\lambda\mu)$  and  $M(c, n, \mu) \oplus M(b, m, \lambda)$  cannot be isomorphic.  $\square$

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